



# THEORY OF PLASTIC FLOW I

**Jiří Plešek**

Institute of Thermomechanics  
Czech Academy of Sciences

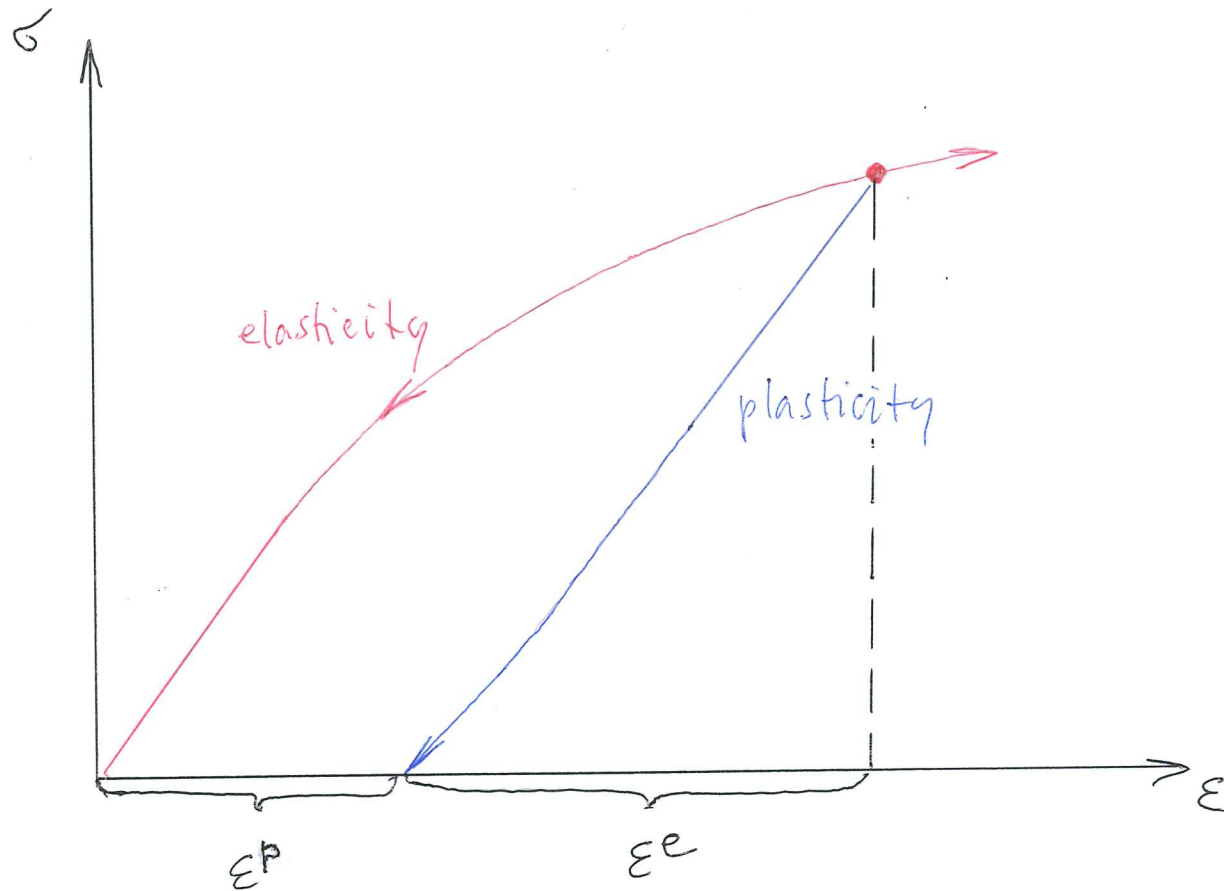


# Contents

---

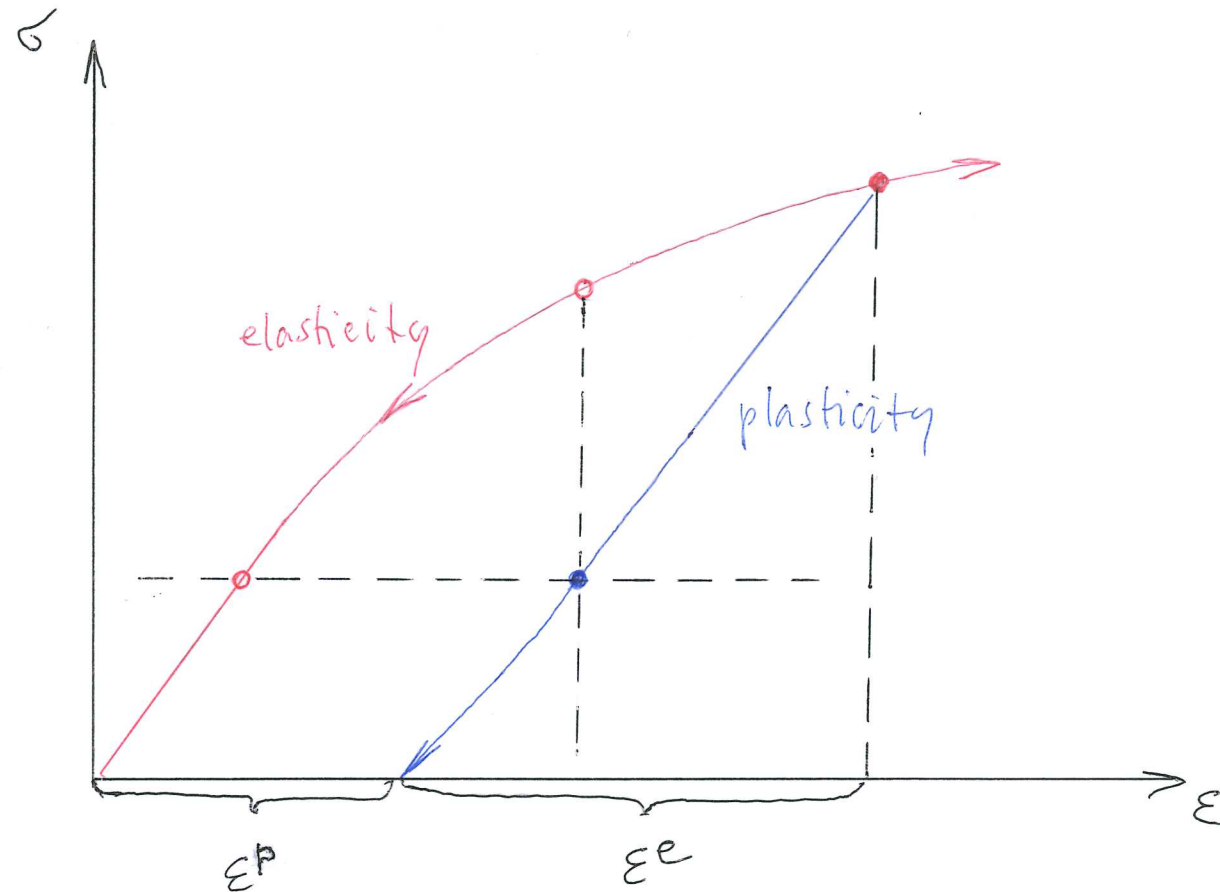
- A paradigm of plasticity
- Incremental model
- Associated flow rule
- $J_2$ -theory
- Recourse to history

# Paradigm of plasticity



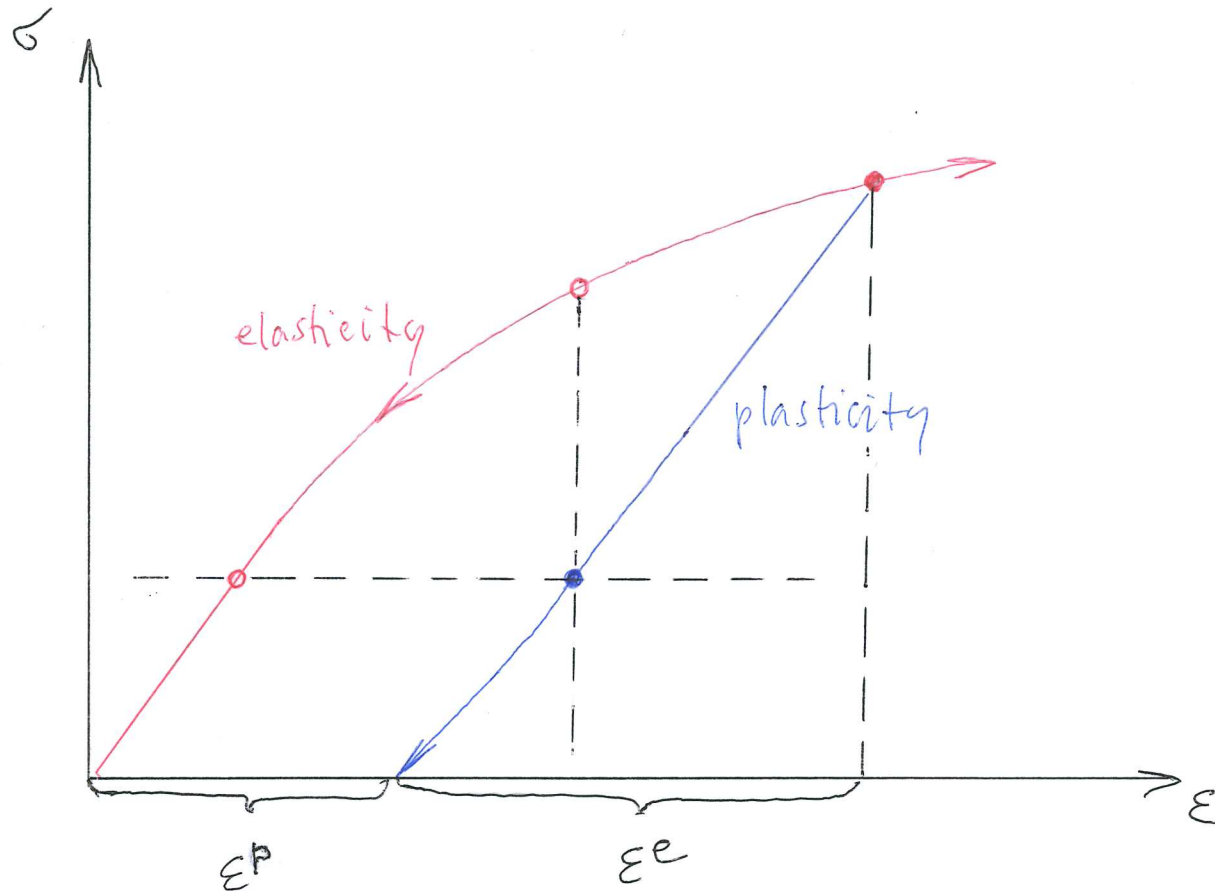
(additive decomposition)

# Paradigm of plasticity



(additive decomposition)

## Paradigm of plasticity



(additive decomposition)

## Function

$$\varepsilon(t) \mapsto \sigma(t)$$

(elasticity)

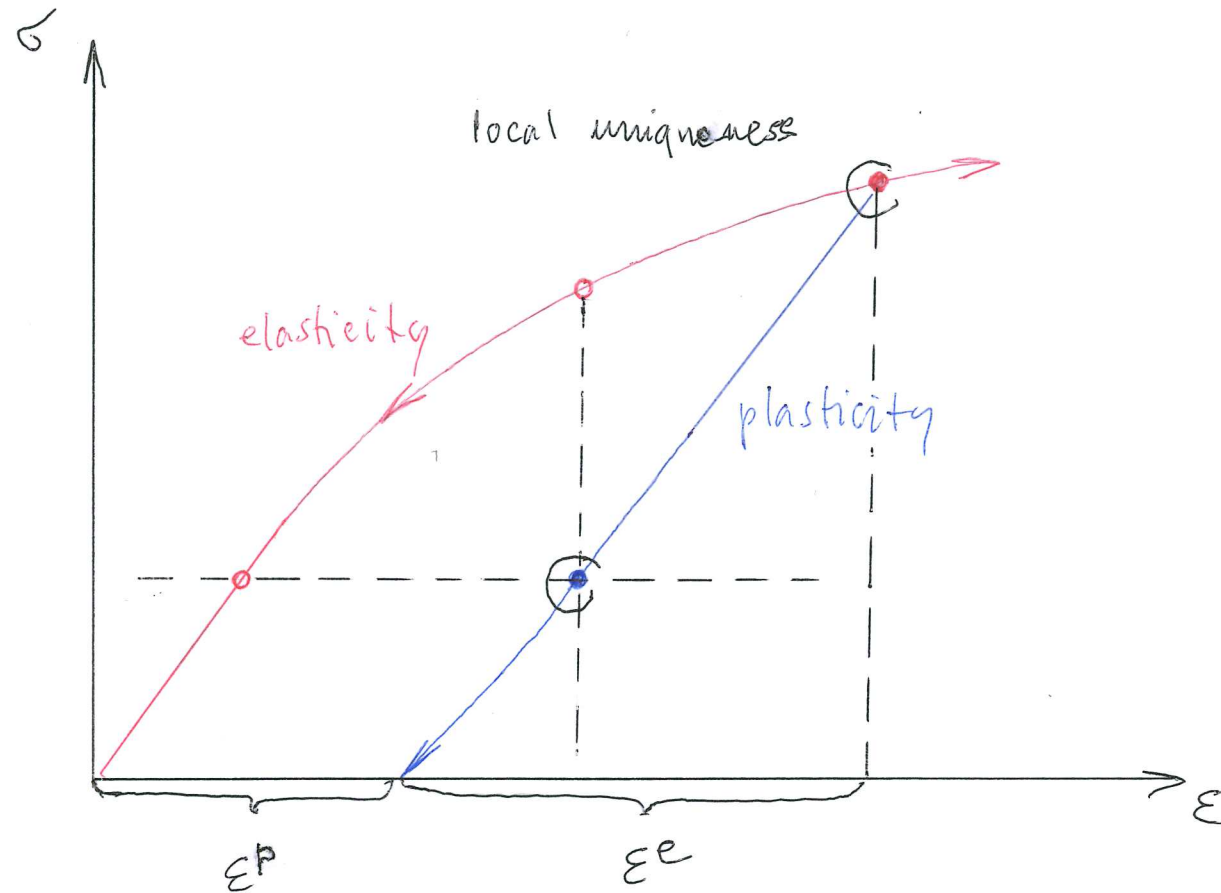
## Functional

history:  $0 \leq \tau \leq t$

$$\varepsilon(t) \mapsto \sigma(t)$$

(plasticity)

# Paradigm of plasticity



(additive decomposition)

Function

$\varepsilon(t) \mapsto \sigma(t)$   
(elasticity)

Functional

history:  $0 \leq \tau \leq t$

$\varepsilon(\tau) \mapsto \sigma(t)$   
(plasticity)



# Ideas of incremental plasticity

---

Yield condition

$$F(\sigma) = |\sigma| - \sigma_Y = 0$$



# Ideas of incremental plasticity

---

Yield condition

$$F(\sigma) = |\sigma| - \sigma_Y = 0$$

Flow rule

$$\dot{\epsilon}^p = \lambda \operatorname{sgn} |\sigma|, \quad \lambda \geq 0$$





# Ideas of incremental plasticity

---

Yield condition

$$F(\sigma) = |\sigma| - \sigma_Y = 0$$

Flow rule

$$\dot{\epsilon}^p = \lambda \operatorname{sgn} |\sigma|, \quad \lambda \geq 0$$

Consistency condition

$$\dot{F}(\sigma) = \operatorname{sgn} |\sigma| \dot{\sigma} = 0$$



# Ideas of incremental plasticity

---

Yield condition

$$F(\sigma) = |\sigma| - \sigma_Y = 0$$

Flow rule

$$\dot{\epsilon}^p = \lambda \operatorname{sgn} |\sigma|, \quad \lambda \geq 0$$

Consistency condition

$$\dot{F}(\sigma) = \operatorname{sgn} |\sigma| \dot{\sigma} = 0$$

Hooke's law

$$\sigma = E(\epsilon - \epsilon^p)$$

(additive decomposition used)



# Ideas of incremental plasticity

---

Yield condition

$$F(\sigma) = |\sigma| - \sigma_Y = 0$$

Flow rule

$$\dot{\epsilon}^p = \lambda \operatorname{sgn} |\sigma|, \quad \lambda \geq 0$$

Consistency condition

$$\dot{F}(\sigma) = \operatorname{sgn} |\sigma| \dot{\sigma} = 0$$

Hooke's law

$$\sigma = E(\epsilon - \epsilon^p)$$

(additive decomposition used)

... substituting

$$\operatorname{sgn} |\sigma| E(\dot{\epsilon} - \dot{\epsilon}^p) = 0$$

$$\operatorname{sgn} |\sigma| E\dot{\epsilon} - E\lambda = 0$$



# Ideas of incremental plasticity

---

Yield condition

$$F(\sigma) = |\sigma| - \sigma_Y = 0$$

Flow rule

$$\dot{\epsilon}^p = \lambda \operatorname{sgn} |\sigma|, \quad \lambda \geq 0$$

Consistency condition

$$\dot{F}(\sigma) = \operatorname{sgn} |\sigma| \dot{\sigma} = 0$$

Hooke's law

$$\sigma = E(\epsilon - \epsilon^p)$$

(additive decomposition used)

... substituting

$$\operatorname{sgn} |\sigma| E(\dot{\epsilon} - \dot{\epsilon}^p) = 0$$

$$\operatorname{sgn} |\sigma| E\dot{\epsilon} - E\lambda = 0$$

Hence

$$\lambda = \frac{\operatorname{sgn} |\sigma| E\dot{\epsilon}}{E}$$



# Ideas of incremental plasticity

---

Yield condition

$$F(\sigma) = |\sigma| - \sigma_Y = 0$$

Flow rule

$$\dot{\epsilon}^p = \lambda \operatorname{sgn} |\sigma|, \quad \lambda \geq 0$$

Consistency condition

$$\dot{F}(\sigma) = \operatorname{sgn} |\sigma| \dot{\sigma} = 0$$

Hooke's law

$$\sigma = E(\epsilon - \epsilon^p)$$

(additive decomposition used)

... substituting

$$\operatorname{sgn} |\sigma| E(\dot{\epsilon} - \dot{\epsilon}^p) = 0$$

$$\operatorname{sgn} |\sigma| E\dot{\epsilon} - E\lambda = 0$$

Hence

$$\lambda = \frac{\operatorname{sgn} |\sigma| E\dot{\epsilon}}{E}$$

Elastic unloading

if  $\sigma\dot{\epsilon} < 0$ , we set  $\lambda = 0$





# Hooke's law revisited

---

General formulation

$$\sigma_{ij} = C_{ijkl} \epsilon_{kl}$$

Symmetries

$$i \leftrightarrow j, \quad k \leftrightarrow l, \quad ij \longleftrightarrow kl$$

Positive definiteness

$$\forall \epsilon \neq \mathbf{0} : \epsilon_{ij} C_{ijkl} \epsilon_{kl} > 0$$



# Hooke's law revisited

---

## General formulation

$$\sigma_{ij} = C_{ijkl} \epsilon_{kl}$$

## Symmetries

$$i \leftrightarrow j, \quad k \leftrightarrow l, \quad ij \longleftrightarrow kl$$

## Positive definiteness

$$\forall \epsilon \neq \mathbf{0} : \epsilon_{ij} C_{ijkl} \epsilon_{kl} > 0$$

## Isotropy

$$\mathbf{S} = 2G(\boldsymbol{\epsilon} - \epsilon_m \mathbf{I}) \quad \text{and} \quad \sigma_m = 3K\epsilon_m$$

## where

$$G = \frac{E}{2(1 + \nu)} \quad \text{and} \quad K = \frac{E}{3(1 - 2\nu)}$$



# Incremental model

---

Yield condition

$$F(\sigma_{ij}) = 0$$

Flow rule

$$\dot{\epsilon}_{ij}^p = \lambda R_{ij}, \quad \lambda \geq 0$$





# Incremental model

---

Yield condition

$$F(\sigma_{ij}) = 0$$

Flow rule

$$\dot{\epsilon}_{ij}^p = \lambda R_{ij}, \quad \lambda \geq 0$$

Consistency condition

$$\dot{F}(\sigma_{ij}) = \frac{\partial F}{\partial \sigma_{ij}} \dot{\sigma}_{ij} = 0$$



# Incremental model

---

Yield condition

$$F(\sigma_{ij}) = 0$$

Flow rule

$$\dot{\epsilon}_{ij}^p = \lambda R_{ij}, \quad \lambda \geq 0$$

Consistency condition

$$\dot{F}(\sigma_{ij}) = \frac{\partial F}{\partial \sigma_{ij}} \dot{\sigma}_{ij} = 0$$

Hooke's law

$$\sigma_{ij} = C_{ijkl}(\epsilon_{kl} - \epsilon_{kl}^p)$$



# Incremental model

---

Yield condition

$$F(\sigma_{ij}) = 0$$

Flow rule

$$\dot{\epsilon}_{ij}^p = \lambda R_{ij}, \quad \lambda \geq 0$$

Consistency condition

$$\dot{F}(\sigma_{ij}) = \frac{\partial F}{\partial \sigma_{ij}} \dot{\sigma}_{ij} = 0$$

Hooke's law

$$\sigma_{ij} = C_{ijkl}(\epsilon_{kl} - \epsilon_{kl}^p)$$

... substituting

$$\frac{\partial F}{\partial \sigma_{ij}} C_{ijkl}(\dot{\epsilon}_{kl} - \lambda R_{kl}) = 0$$



# Incremental model

---

Yield condition

$$F(\sigma_{ij}) = 0$$

Flow rule

$$\dot{\epsilon}_{ij}^p = \lambda R_{ij}, \quad \lambda \geq 0$$

Consistency condition

$$\dot{F}(\sigma_{ij}) = \frac{\partial F}{\partial \sigma_{ij}} \dot{\sigma}_{ij} = 0$$

Hooke's law

$$\sigma_{ij} = C_{ijkl}(\epsilon_{kl} - \epsilon_{kl}^p)$$

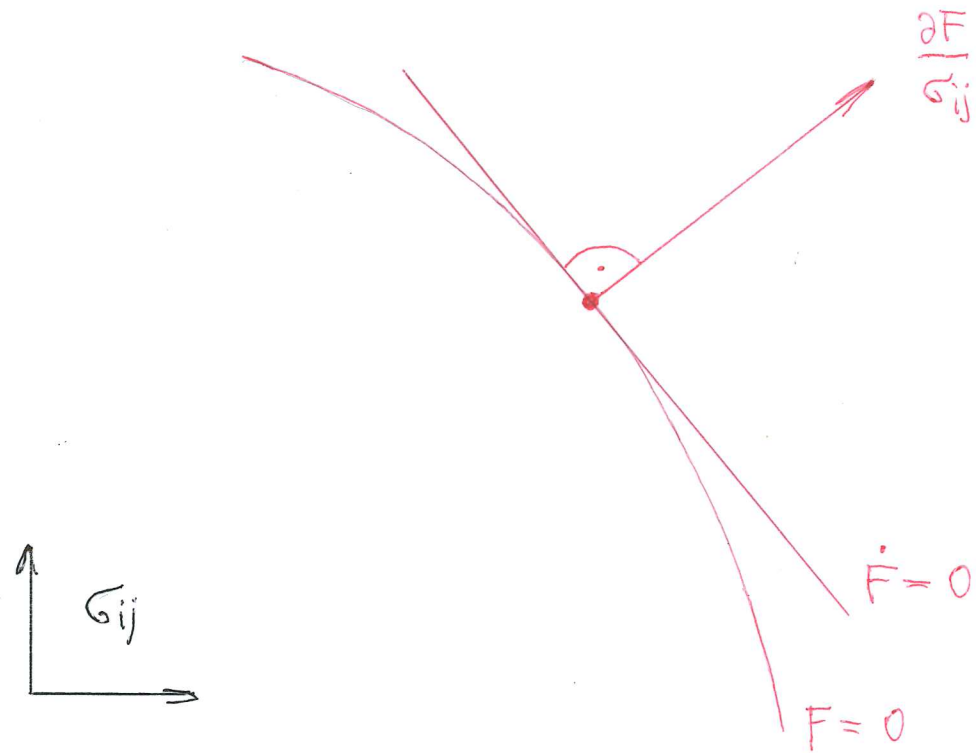
... substituting

$$\frac{\partial F}{\partial \sigma_{ij}} C_{ijkl} (\dot{\epsilon}_{kl} - \lambda R_{kl}) = 0$$

Hence

$$\lambda = \frac{\frac{\partial F}{\partial \sigma_{ij}} C_{ijkl} \dot{\epsilon}_{kl}}{\frac{\partial F}{\partial \sigma_{pq}} C_{pqrs} R_{rs}} \geq 0$$

Trial stress:

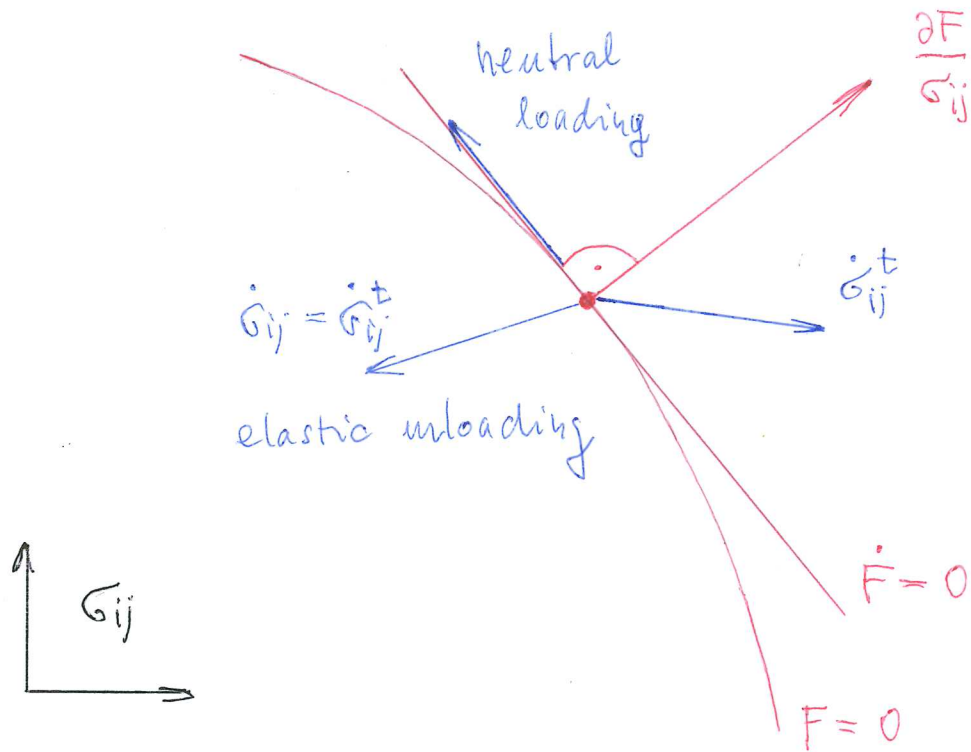


Trial stress:

$$\dot{\sigma}_{ij}^t = C_{ijkl} \dot{\epsilon}_{kl}$$

Plastic forcing:

$$\lambda = \frac{\frac{\partial F}{\partial \sigma_{ij}} C_{ijkl} \dot{\epsilon}_{kl}}{\text{const.}}$$



Trial stress:

$$\dot{\sigma}_{ij}^t = c_{ijkl} \dot{\epsilon}_{kl}$$

## Plastic forcing

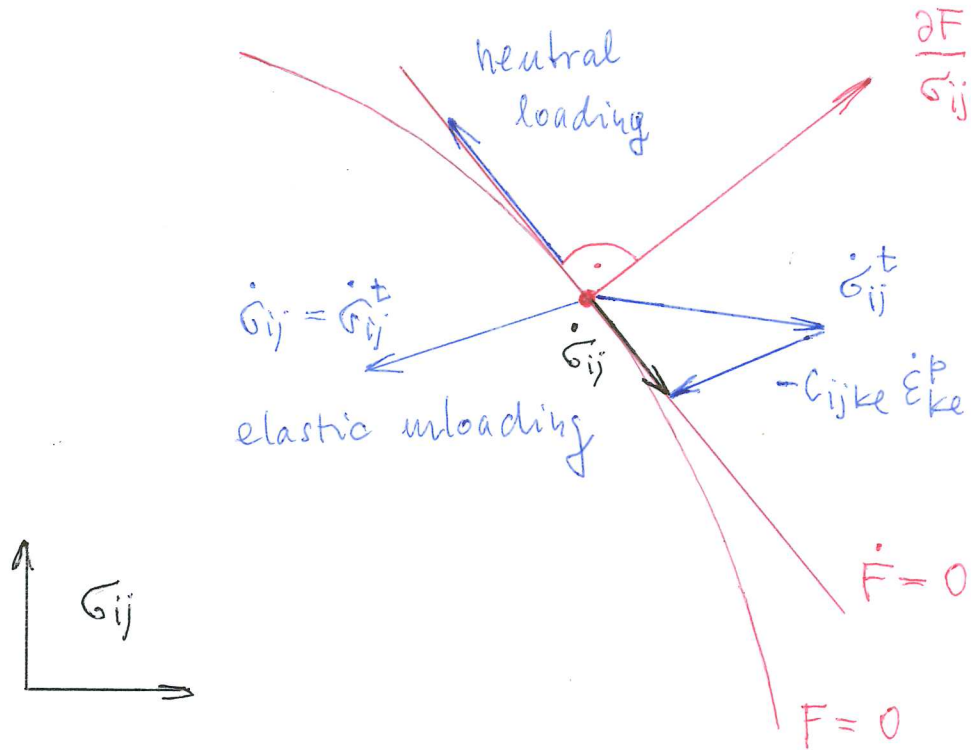
$$\lambda = \frac{\frac{\partial F}{\partial \sigma_{ij}} c_{ijkl} \dot{\epsilon}_{kl}}{\text{const.}}$$

### Hooke's law

$$\sigma_{ij} = C_{ijkl} (\dot{\epsilon}_{kl} - \dot{\epsilon}_{kl}^p)$$

### Consistency condition

$$\frac{\partial F}{\partial \sigma_{ij}} \hat{\sigma}_{ij} = 0$$







# Associated flow rule

---

Necessary condition

$$\frac{\partial F}{\partial \sigma_{ij}} C_{ijkl} R_{kl} > 0$$





# Associated flow rule

---

Necessary condition

$$\frac{\partial F}{\partial \sigma_{ij}} C_{ijkl} R_{kl} > 0$$

Corollary

$$\mathbf{R} = \text{function}(\nabla F)$$



# Associated flow rule

---

Necessary condition

$$\frac{\partial F}{\partial \sigma_{ij}} C_{ijkl} R_{kl} > 0$$

Corollary

$$\mathbf{R} = \text{function}(\nabla F)$$

Association

$$R_{ij} = \frac{\partial F}{\partial \sigma_{ij}}$$

Note: AFR always meets the necessary condition as  $\mathbf{C}$  is sym+def.



# Associated flow rule

---

Necessary condition

$$\frac{\partial F}{\partial \sigma_{ij}} C_{ijkl} R_{kl} > 0$$

Corollary

$$\mathbf{R} = \text{function}(\nabla F)$$

Association

$$R_{ij} = \frac{\partial F}{\partial \sigma_{ij}}$$

Alternatively

$$C_{ijkl} R_{kl} = \frac{\partial F}{\partial \sigma_{ij}} \quad (\text{interesting possibility})$$

Note: AFR always meets the necessary condition as  $\mathbf{C}$  is sym+def.



# J<sub>2</sub>-theory

---

Effective stress

$$\sigma_e = \sqrt{3J_2} = \sqrt{\frac{3}{2}S_{ij}S_{ij}}$$



# $J_2$ -theory

---

Effective stress

$$\sigma_e = \sqrt{3J_2} = \sqrt{\frac{3}{2}S_{ij}S_{ij}}$$

Association

$$R_{ij} = \frac{\partial \sigma_e}{\partial S_{ij}} = \frac{3}{2} \frac{S_{ij}}{\sigma_e}$$



# $J_2$ -theory

---

Effective stress

$$\sigma_e = \sqrt{3J_2} = \sqrt{\frac{3}{2}S_{ij}S_{ij}}$$

Association

$$R_{ij} = \frac{\partial \sigma_e}{\partial \sigma_{ij}} = \frac{3}{2} \frac{S_{ij}}{\sigma_e}$$

Flow rule

$$\dot{\epsilon}_{ij}^p = \frac{3}{2} \frac{\lambda}{\sigma_e} S_{ij} \quad (\text{deviatoric})$$





## J<sub>2</sub>-theory

---

Effective stress

$$\sigma_e = \sqrt{3J_2} = \sqrt{\frac{3}{2}S_{ij}S_{ij}}$$

Association

$$R_{ij} = \frac{\partial \sigma_e}{\partial \sigma_{ij}} = \frac{3}{2} \frac{S_{ij}}{\sigma_e}$$

Flow rule

$$\dot{\epsilon}_{ij}^p = \frac{3}{2} \frac{\lambda}{\sigma_e} S_{ij}$$

(deviatoric)

$$\frac{\partial F}{\partial \sigma_{ij}} C_{ijkl} \dot{\epsilon}_{kl} = 3G \frac{S_{ij} \dot{\epsilon}_{ij}}{\sigma_Y}$$

---

$$\frac{\partial F}{\partial \sigma_{pq}} C_{pqrs} \frac{\partial F}{\partial \sigma_{rs}} = 3G > 0$$



# J<sub>2</sub>-theory

---

Effective stress

$$\sigma_e = \sqrt{3J_2} = \sqrt{\frac{3}{2}S_{ij}S_{ij}}$$

Association

$$R_{ij} = \frac{\partial \sigma_e}{\partial \sigma_{ij}} = \frac{3}{2} \frac{S_{ij}}{\sigma_e}$$

Flow rule

$$\dot{\epsilon}_{ij}^p = \frac{3}{2} \frac{\lambda}{\sigma_e} S_{ij}$$

(deviatoric)

$$\frac{\partial F}{\partial \sigma_{ij}} C_{ijkl} \dot{\epsilon}_{kl} = 3G \frac{S_{ij} \dot{\epsilon}_{ij}}{\sigma_Y}$$

$$\frac{\partial F}{\partial \sigma_{pq}} C_{pqrs} \frac{\partial F}{\partial \sigma_{rs}} = 3G > 0$$

Plastic strain increment

$$\lambda = \frac{S_{ij} \dot{\epsilon}_{ij}}{\sigma_Y} \Rightarrow \dot{\epsilon}_{ij}^p$$





# J<sub>2</sub>-theory

---

Effective stress

$$\sigma_e = \sqrt{3J_2} = \sqrt{\frac{3}{2}S_{ij}S_{ij}}$$

Association

$$R_{ij} = \frac{\partial \sigma_e}{\partial \sigma_{ij}} = \frac{3}{2} \frac{S_{ij}}{\sigma_e}$$

Flow rule

$$\dot{\epsilon}_{ij}^p = \frac{3}{2} \frac{\lambda}{\sigma_e} S_{ij}$$

(deviatoric)

$$\frac{\partial F}{\partial \sigma_{ij}} C_{ijkl} \dot{\epsilon}_{kl} = 3G \frac{S_{ij} \dot{\epsilon}_{ij}}{\sigma_Y}$$

$$\frac{\partial F}{\partial \sigma_{pq}} C_{pqrs} \frac{\partial F}{\partial \sigma_{rs}} = 3G > 0$$

Plastic strain increment

$$\lambda = \frac{S_{ij} \dot{\epsilon}_{ij}}{\sigma_Y} \Rightarrow \dot{\epsilon}_{ij}^p$$

Stress increment

$$\dot{\sigma}_{ij} = \dot{\sigma}_{ij}^t - 2G \dot{\epsilon}_{ij}^p$$



## J<sub>2</sub>-theory

---

Effective stress

$$\sigma_e = \sqrt{3J_2} = \sqrt{\frac{3}{2}S_{ij}S_{ij}}$$

Association

$$R_{ij} = \frac{\partial \sigma_e}{\partial \sigma_{ij}} = \frac{3}{2} \frac{S_{ij}}{\sigma_e}$$

Flow rule

$$\dot{\epsilon}_{ij}^p = \frac{3}{2} \frac{\lambda}{\sigma_e} S_{ij} \quad (\text{deviatoric})$$

$$\frac{\partial F}{\partial \sigma_{ij}} C_{ijkl} \dot{\epsilon}_{kl} = 3G \frac{S_{ij} \dot{\epsilon}_{ij}}{\sigma_Y}$$

$$\frac{\partial F}{\partial \sigma_{pq}} C_{pqrs} \frac{\partial F}{\partial \sigma_{rs}} = 3G > 0$$

Plastic strain increment

$$\lambda = \frac{S_{ij} \dot{\epsilon}_{ij}}{\sigma_Y} \Rightarrow \dot{\epsilon}_{ij}^p$$

Stress increment

$$\dot{\sigma}_{ij} = \dot{\sigma}_{ij}^t - 2G \dot{\epsilon}_{ij}^p$$

Remark: Note that  $S_{ij} \dot{\epsilon}_{ij}$  may be regarded as distortional power density.



# Barré de Saint-Venant & Lévy (1870)

---

Tresca criterion

$$\sigma_1 - \sigma_3 \leq \sigma_Y$$



# Barré de Saint-Venant & Lévy (1870)

---

Tresca criterion

$$\sigma_1 - \sigma_3 \leq \sigma_Y$$

Viscous stress

$$\sigma = 2\mu\dot{\epsilon}$$



# Barré de Saint-Venant & Lévy (1870)

---

Tresca criterion

$$\sigma_1 - \sigma_3 \leq \sigma_Y$$

Viscous stress

$$\boldsymbol{\sigma} = 2\mu\dot{\boldsymbol{\epsilon}}$$

Incompressibility

$$\dot{\epsilon}_m = 0 \quad \Rightarrow \quad \boldsymbol{\sigma} = \mathbf{S}$$





# Barré de Saint-Venant & Lévy (1870)

---

Tresca criterion

$$\sigma_1 - \sigma_3 \leq \sigma_Y$$

Viscous stress

$$\boldsymbol{\sigma} = 2\mu\dot{\boldsymbol{\epsilon}}$$

Incompressibility

$$\dot{\epsilon}_m = 0 \Rightarrow \boldsymbol{\sigma} = \mathbf{S}$$

Hence

$$\dot{\epsilon}_{ij} = \frac{1}{2\mu} S_{ij}$$

(for total strain)



# Barré de Saint-Venant & Lévy (1870)

---

Tresca criterion

$$\sigma_1 - \sigma_3 \leq \sigma_Y$$

Viscous stress

$$\boldsymbol{\sigma} = 2\mu\dot{\boldsymbol{\epsilon}}$$

Incompressibility

$$\dot{\epsilon}_m = 0 \Rightarrow \boldsymbol{\sigma} = \mathbf{S}$$

Hence

$$\dot{\epsilon}_{ij} = \frac{1}{2\mu} S_{ij}$$

(for total strain)

- We infer by  $\dot{\boldsymbol{\epsilon}} = \mathbf{0} \Rightarrow \boldsymbol{\sigma} = \mathbf{0} \Rightarrow \boldsymbol{\epsilon}^e = \mathbf{0}$  that elastic strain is omitted, therefore this theory is only good for steady state flow.



# Von Mises (1913)

---

- Same concept used but for the yield criterion

$$\sqrt{\frac{3}{2}}\|\mathbf{S}\| \leq \sigma_Y$$

also supported by Huber (1904) and Hencky (1924).





# Von Mises (1913)

---

- Same concept used but for the yield criterion

$$\sqrt{\frac{3}{2}} \|\mathbf{S}\| \leq \sigma_Y$$

also supported by Huber (1904) and Hencky (1924).

- It was discovered by Drucker much later (in 1956) that the deviatoric flow rule was incompatible with the Tresca criterion but worked well with the von Mises one. It, thus, transpired that von Mises had accidentally stumbled on the right solution!



# Von Mises (1913)

---

- Same concept used but for the yield criterion

$$\sqrt{\frac{3}{2}} \|\mathbf{S}\| \leq \sigma_Y$$

also supported by Huber (1904) and Hencky (1924).

- It was discovered by Drucker much later (in 1956) that the deviatoric flow rule was incompatible with the Tresca criterion but worked well with the von Mises one. It, thus, transpired that von Mises had accidentally stumbled on the right solution!
- The flow rule  $\dot{\epsilon}_{ij} = S_{ij}/2\mu$  is called today the Vénant-Lévy-Mises equation. Still, as we know already, it is incomplete.



# Prandtl-Reuss (1930)

---

- It was Reuss who, finally, included elastic strain via the additive decomposition so that only the plastic part would then be computed from VLM equation as

$$\dot{\epsilon}_{ij}^p = \lambda_p S_{ij}$$

(for plastic strain)



# Prandtl-Reuss (1930)

---

- It was Reuss who, finally, included elastic strain via the additive decomposition so that only the plastic part would then be computed from VLM equation as

$$\dot{\epsilon}_{ij}^p = \lambda_p S_{ij} \quad (\text{for plastic strain})$$

- This, in fact, corresponds to  $J_2$ -theory with  $\lambda_p = 3\lambda/2\sigma_e$  substituted. Either of the multipliers  $\lambda$  or  $\lambda_p$  can be determined from the consistency condition to the exact same result.